

# Implications of quark-lepton complementarity



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## Current Oscillation Data From LP 2007, 2005

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Atmospheric neutrino (best fit in physical region from SK and K2K):

$$\Delta m_{\text{atm}}^2 = 2.5 \times 10^{-3} \text{ eV}^2, \quad \sin^2 2\theta_{\text{atm}} = 1.0 \quad (> 0.93 \text{ at } 90\% \text{ CL})$$

Solar neutrino (solar + KamLAND):

$$\Delta m_{\text{sol}}^2 = 8.0_{-0.5}^{+0.4} \times 10^{-5} \text{ eV}^2, \quad \tan^2 \theta_{\text{sol}} = 0.45_{-0.05}^{+0.05}$$

Reactor  $\nu$  (CHOOZ):

$$\sin^2 2\theta_{13} < 0.2 \text{ (90\% CL)}$$

## Neutrino Mixing Matrix (PMNS)

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$$\begin{aligned}
 U_{\text{PMNS}} &= \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \\
 &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & e^{-i\delta_{CP}} \sin \theta_{13} \\ 0 & 1 & 0 \\ -e^{i\delta_{CP}} \sin \theta_{13} & 0 & \cos \theta_{13} \end{pmatrix} \\
 &\quad \times \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha/2} & 0 \\ 0 & 0 & e^{i\beta/2} \end{pmatrix}
 \end{aligned}$$

$$\theta_{23} \simeq 45^\circ$$

$$\theta_{13} = ?$$

$$\theta_{12} \simeq 33.8^\circ \begin{matrix} +1.4^\circ \\ -1.5^\circ \end{matrix}$$

## CKM matrices

In Wolfenstein convention, and expanded in  $\lambda \approx 0.22$

$$\begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(1 + \lambda^2/)(\bar{\rho} - i\bar{\eta}) \\ -\lambda - iA^2\lambda^5\bar{\eta} & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \bar{\rho} - \bar{\eta}) & -A\lambda^2 - iA\lambda^4\bar{\eta} & 1 \end{pmatrix}$$

$$\theta_C^{\text{CKM}} \approx 12.7^\circ$$

## Motivations for Quark Lepton Complementarity

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The solar  $\nu$  angle and the Cabibbo angle add to  $\pi/4$

$$\theta_{\text{sol}}^{\text{PMNS}} + \theta_C^{\text{CKM}} = 46.5^{\circ} \begin{matrix} +1.4^{\circ} \\ -1.5^{\circ} \end{matrix}$$

$$(\theta_{\text{sol}}^{\text{PMNS}} + \theta_C^{\text{CKM}} = 45.3^{\circ} \pm 1.6^{\circ}) \quad (\text{Raidal PRL 2004})$$

Other observations

$$\theta_{\text{atm}} + \theta_{23}^{\text{CKM}} = \frac{\pi}{4}$$

$$\theta_{13}^{\text{MNS}} \sim \theta_{13}^{\text{CKM}} \sim O(\lambda^3)$$

These unrelated relations between the leptonic and quark sectors draw some speculation that **the lepton and quark sectors may be unified at some scales.**

## Outline

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- Relate the  $U_{\text{PMNS}}$  matrix to the CKM matrix
- How it leads to Lepton Flavor Violation (LFV)
- How it probes the  $B_d$  and  $B_s$  mixing.

## Possible forms of PMNS mixing matrix

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The PMNS mixing matrix can be described by a small perturbation with ( $\lambda = \sin \theta_C$ ) from the **bimaximal mixing matrix**.

$$U_{\text{PMNS}} = \begin{cases} U^\dagger(\lambda) U_{\text{bimax}} \\ U_{\text{bimax}} U^\dagger(\lambda) \\ U_{23}^m(\lambda) U^\dagger(\lambda) U_{12}^m \end{cases}$$

where

$$U_{23}^m = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ 0 & -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix}, \quad U_{12}^m = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \\ -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

We show that  $U^\dagger(\lambda)$  can be related to  $U_{\text{CKM}}^\dagger$  in GUT scenarios.

$$\text{Case (1) } U_{\text{PMNS}} = U^\dagger(\lambda) U_{\text{bimax}}$$


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In see-saw scenario with heavy RH neutrinos

$$W_{\text{lepton}} = Y_l \widehat{L} \widehat{l}_L^c \widehat{H}_d + Y_\nu \widehat{L} \widehat{N}_L^c \widehat{H}_u - \frac{1}{2} \widehat{N}_L^{cT} M_R \widehat{N}_L^c$$

Suppose  $Y_l$  and  $Y_\nu$  can be parametrized as

$$Y_l = U_l Y_l^{\text{diag}} V_l^\dagger, \quad Y_\nu = U_0 Y_\nu^{\text{diag}} V_0^\dagger$$

The see-saw generates the neutrino mass matrix

$$M_\nu \sim (Y_\nu L H)(Y_\nu L H)/M_R = \left( U_0 Y_\nu^{\text{diag}} \frac{v_u}{\sqrt{2}} V_0^\dagger \right) M_R^{-1} \left( V_0^* Y_\nu^{\text{diag}} \frac{v_u}{\sqrt{2}} U_0^T \right)$$

Write

$$M_\nu = U_0 \left( M_{\text{dirac}}^{\text{diag}} V_0^\dagger M_R^{-1} V_0^* M_{\text{dirac}}^{\text{diag}} \right) U_0^T$$

where

$$M_{\text{dirac}}^{\text{diag}} = Y_\nu^{\text{diag}} \frac{v_u}{\sqrt{2}}$$



Suppose  $V_M$  diagonalizes  $(M_{dirac}^{diag} V_0^\dagger M_R^{-1} V_0^* M_{dirac}^{diag})$ , we can write

$$M_\nu = U_0 V_M M_\nu^{diag} V_M^T U_0^T = U_\nu M_\nu^{diag} U_\nu^T$$

Therefore, the PMNS matrix

$$U_{\text{PMNS}} = U_l^\dagger U_\nu = U_l^\dagger U_0 V_M$$

Impose quark-lepton unification in the simple SU(5):

$$Y_e = Y_d^T \quad \Rightarrow \quad U_l Y_l^{diag} V_l^\dagger = (U_d Y_d^{diag} V_d^\dagger)^T \quad \Rightarrow \quad U_l^\dagger = V_d^T$$

Then we can write

$$U_{\text{PMNS}} = V_d^T U_0 V_M$$

We can involve the CKM mixing matrix by relating the Dirac neutrino Yukawa coupling to the  $u$ -type Yukawa coupling

$$SO(10) : \quad Y_\nu = Y_u \quad \Rightarrow \quad U_0 Y_\nu^{diag} V_0^\dagger = U_u Y_u^{diag} V_u^\dagger$$

Therefore,

$$U_{\text{PMNS}} = V_d^T U_u V_M = V_d^T U_d U_{\text{CKM}}^\dagger V_M$$

If we further assume the  $d$ -type Yukawa to be symmetric, then  $U_d = V_d$ , and

$$U_{\text{PMNS}} = U_{\text{CKM}}^\dagger V_M$$

Here  $V_M$  represents the bimaximal mixing matrix. Thus, we derive the first form:

$$U_{\text{PMNS}} = U_{\text{CKM}}^\dagger U_{\text{bimax}}$$

## Other Forms (2) and (3)

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$$U_{\text{PMNS}} = V_d^T U_d U_{\text{CKM}}^\dagger V_M$$

(2)  $U_{\text{PMNS}} = U_{\text{bimax}} U_{\text{CKM}}^\dagger$ :

Take

$$V_d^T U_d = U_{\text{bimax}}, \quad V_M = I$$

(3)  $U_{\text{PMNS}} = U_{23}^m U_{\text{CKM}}^\dagger U_{12}^m$ :

Take

$$V_d^T U_d = U_{23}^m, \quad V_M = U_{12}^m$$

In these ways, the  $U_{\text{PMNS}}$  is related to  $U_{\text{CKM}}$  through quark-lepton unification.

## How it leads to LFV

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Embed into the supersymmetric GUT scenarios.

Renormalization group running from the GUT scale to the RH neutrino scale induces off-diagonal terms in slepton mass matrix:

$$m_{\tilde{l}_{ij}}^2 \simeq -\frac{1}{8\pi^2} (3m_0^2 + A_0^2) (Y'_\nu Y'^{\dagger})_{ij} \log \frac{M_G}{M_X},$$

$m_0, A_0$  are universal soft mass and A parameter.

$Y'_\nu$  is the Dirac neutrino Yukawa matrix in the basis that the charged leptons and RH neutrinos are real and diagonal.

• In this basis:

$$Y'_\nu Y'^{\dagger} = (U_0 Y_\nu^{\text{diag}} V_0^\dagger) (V_0 Y_\nu^{\text{diag}} U_0^\dagger)$$

where  $Y_\nu^{\text{diag}}$  is the diagonalized Dirac neutrino Yukawa matrix.

In the basis that the charged leptons and RH neutrinos are real and diagonal.

$$U_{\text{PMNS}} = U_l^\dagger U_\nu = U_l^\dagger U_0 V_M = U_0 V_M; \quad U_{\text{PMNS}} = U_{\text{CKM}}^\dagger V_M$$

Therefore

$$U_0 = U_{\text{CKM}}^\dagger$$

We can then write

$$\begin{aligned} Y'_\nu Y'^\dagger_\nu &= (U_0 Y_\nu^{\text{diag}} V_0^\dagger) (V_0 Y_\nu^{\text{diag}} U_0^\dagger) \\ &= U_{\text{CKM}}^\dagger (Y_\nu^{\text{diag}})^2 U_{\text{CKM}} \end{aligned}$$

## LFV prediction for $l_i \rightarrow l_j \gamma$ in Case (1)

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The one-loop contribution to the BR of the LFV decay  $l_i \rightarrow l_j \gamma$  is

$$B(l_i \rightarrow l_j \gamma) \simeq \frac{\alpha^2}{G_F^2} \tan^2 \beta \left| \frac{m_{\tilde{l}_{ij}}^2}{M_{\text{susy}}^4} \right|^2$$

Therefore,

$$B(l_i \rightarrow l_j \gamma) \sim (Y'_\nu Y'^{\dagger})_{ij}^2 = \left( U_{\text{CKM}}^\dagger (Y_\nu^{\text{diag}})^2 U_{\text{CKM}} \right)_{ij}^2$$

Assume some hierachical form of

$$Y_\nu^{\text{diag}} \equiv Y_3 \begin{pmatrix} \lambda^{n_1} & & \\ & \lambda^{n_2} & \\ & & 1 \end{pmatrix}.$$

For quark-lepton unification  $Y_3 = m_t/v_u$  and  $n_1 = 8, n_2 = 4$ .

The term  $(Y'_\nu Y_\nu'^\dagger)$  is given to leading order as

$$Y'_\nu Y_\nu'^\dagger \sim \left(\frac{m_t}{v_u}\right)^2 \times \begin{pmatrix} \lambda^{2n_1} + \lambda^{2n_2+2} + \lambda^6 & \lambda^{2n_1+1} - \lambda^{2n_2+1} - \lambda^5 & \lambda^3 \\ \lambda^{2n_1+1} - \lambda^{2n_2+1} - \lambda^5 & \lambda^{2n_1+2} + \lambda^{2n_2} + \lambda^4 & -\lambda^2 \\ \lambda^3 & -\lambda^2 & 1 \end{pmatrix}$$

In the case  $n_1, n_2 > 2$ ,

$$\begin{aligned} B(\mu \rightarrow e\gamma) : B(\tau \rightarrow e\gamma) : B(\tau \rightarrow \mu\gamma) &\simeq (-\lambda^{2n_1-1} + \lambda^{2n_2-1} + \lambda^3)^2 : \lambda^2 : 1 \\ &\simeq \lambda^6 : \lambda^2 : 1 \end{aligned}$$

## Realistic Quark-Lepton Unification

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So far the relation  $Y_e = Y_d^T$  is not realistic. From the well-known relation

$$|V_{us}| \simeq \sqrt{\frac{m_d}{m_s}} \simeq 3 \sqrt{\frac{m_e}{m_\mu}}$$

the  $U(\lambda)$  should have the same form as  $U_{\text{CKM}}$  but with

$$\lambda \longrightarrow \lambda/3$$

which can be obtained by introducing the Higgs sector transforming under the representation **45** of  $SU(5)$  or **126** of  $SO(10)$ .



$$\begin{aligned}
Y'_\nu Y'^{\dagger} &= U_{\text{CKM}}^\dagger (Y_\nu^{\text{diag}})^2 U_{\text{CKM}} \\
(Y'_\nu Y'^{\dagger})_{21} &\simeq \frac{\lambda^5}{6} + \frac{\lambda^{1+2n_1}}{3} - \frac{\lambda^{1+2n_2}}{3} \\
(Y'_\nu Y'^{\dagger})_{31} &\simeq \frac{\lambda^3}{6} - \frac{\lambda^{3+2n_1}}{2} + \frac{\lambda^{3+2n_2}}{3} \\
(Y'_\nu Y'^{\dagger})_{32} &\simeq \lambda^2 - \frac{\lambda^{4+2n_1}}{6} - \lambda^{2+2n_2}
\end{aligned}$$

Then the prediction for LFV:

$$\begin{aligned}
B(\mu \rightarrow e\gamma) : B(\tau \rightarrow e\gamma) : B(\tau \rightarrow \mu\gamma) &\simeq (\lambda^{2n_1} - \lambda^{2n_2} + \lambda^4)^2 : \lambda^4 : 1 \\
&\simeq \lambda^8 : \lambda^4 : 1 \quad \text{for } n_1, n_2 > 2
\end{aligned}$$

LFV prediction for  $l_i \rightarrow l_j \gamma$  for Case (2)

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$$U_{\text{PMNS}} = U_{\text{bimax}} U_{\text{CKM}}^\dagger:$$

Take

$$V_d^T U_d = U_{\text{bimax}}, \quad V_M = I$$

So

$$U_{\text{PMNS}} = U_l^\dagger U_0 V_M = U_0 = U_{\text{bimax}} U_{\text{CKM}}^\dagger$$

Therefore,

$$Y'_\nu Y'^{\dagger}_\nu = U_{\text{bimax}} U_{\text{CKM}}^\dagger (Y_\nu^{\text{diag}})^2 U_{\text{CKM}} U_{\text{bimax}}^\dagger$$

and prediction:

$$B(\mu \rightarrow e\gamma) : B(\tau \rightarrow e\gamma) : B(\tau \rightarrow \mu\gamma) \simeq \lambda^4 : \lambda^4 : 1$$

LFV prediction for  $l_i \rightarrow l_j \gamma$  for Case (3)

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$$U_{\text{PMNS}} = U_{23}^m U_{\text{CKM}}^\dagger U_{12}^m:$$

Take

$$V_d^T U_d = U_{23}^m, \quad V_M = U_{12}^m$$

So

$$\begin{aligned} U_{\text{PMNS}} &= U_l^\dagger U_0 V_M = U_0 U_{12}^m \\ &\Rightarrow U_0 = U_{23}^m U_{\text{CKM}}^\dagger \end{aligned}$$

Therefore,

$$Y'_\nu Y'^{\dagger}_\nu = U_{23}^m U_{\text{CKM}}^\dagger (Y_\nu^{\text{diag}})^2 U_{\text{CKM}} U_{23}^{m\dagger}$$

and prediction:

$$B(\mu \rightarrow e\gamma) : B(\tau \rightarrow e\gamma) : B(\tau \rightarrow \mu\gamma) \simeq \lambda^6 : \lambda^6 : 1$$

## Experimental Tests

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Note that in various scenarios,  $B(\mu \rightarrow e\gamma)$  is the smallest. Currently,

$$\begin{aligned} B(\mu \rightarrow e\gamma) &< 1.2 \times 10^{-11} \\ B(\tau \rightarrow e\gamma) &< 1.2 \times 10^{-7} \quad (\text{Belle2007}) \\ B(\tau \rightarrow \mu\gamma) &< 4.5 \times 10^{-8} \quad (\text{Belle2007}) \end{aligned}$$

Suppose  $\mu \rightarrow e\gamma$  would be observed soon, say

$$B(\mu \rightarrow e\gamma) \simeq 10^{-12}$$

The predictions for cases (2) and (3) give

$$\begin{aligned} B(\tau \rightarrow e\gamma) &\sim 10^{-12} \\ B(\tau \rightarrow \mu\gamma) &\sim 4 \times 10^{-10} - 10^{-8} \end{aligned}$$

But both are still at least 1 orders of magnitude below the current limit.

Case (1) predicts

$$B(\tau \rightarrow e\gamma) \sim 4 \times 10^{-10}$$

$$B(\tau \rightarrow \mu\gamma) \sim 2 \times 10^{-7}$$

$\tau \rightarrow e\gamma$  is still hopeless. But  $\tau \rightarrow \mu\gamma$  is somewhat above the current limit.

- Therefore, if  $\tau \rightarrow \mu\gamma$  is observed with  $\mu \rightarrow e\gamma$  in near future, the quark-lepton unification scenarios (2) and (3) can be ruled out.
- If  $\mu \rightarrow e\gamma$  is observed but not  $\tau \rightarrow \mu\gamma$ , then the scenario (1) may be ruled out.
- Current limit on  $B(\tau \rightarrow \mu\gamma) < 4.5 \times 10^{-8}$  requires  $B(\mu \rightarrow e\gamma) \lesssim 10^{-13}$  if case (1) is right.

## $B_d$ and $B_s$ Meson Mixings

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- The  $B_{s,d}$  mixing arises from small mass difference between  $B_{s,d}$  and  $\overline{B}_{s,d}$ , given by

$$\Delta M_q = 2|\mathcal{M}_{12}(B_q)| \equiv 2 \left| \langle B_q^0 | H_{\text{eff}}^{\Delta B=2} | \overline{B}_q \rangle \right|$$

- The SM contribution arises from box diagrams of top and  $W$ :

$$\mathcal{M}_{12}^{\text{sm}} = \frac{G_F^2 m_W^2}{12\pi^2} (V_{tq}^* V_{tb})^2 M_{B_q} \eta^B B_{B_q} f_{B_q}^2 L_0(m_t^2/m_W^2)$$

- We can parameterize the new physics contribution by

$$\mathcal{M}_{12}(B_q) = \mathcal{M}_{12}^{\text{sm}}(B_q)(1 + R_q) = \mathcal{M}_{12}^{\text{sm}}(B_q)(1 + r_q e^{i\sigma_q})$$

where  $r_q$  is the magnitude and  $\sigma_q$  is the relative phase.

- Experimentally,  $\Delta M_q$  are measured so that

$$\Delta_q \equiv \frac{\Delta M_q^{\text{exp}}}{\Delta M_q^{\text{sm}}} = \left| \frac{\mathcal{M}_{12}(B_q)}{\mathcal{M}_{12}^{\text{sm}}(B_q)} \right|$$

is known.

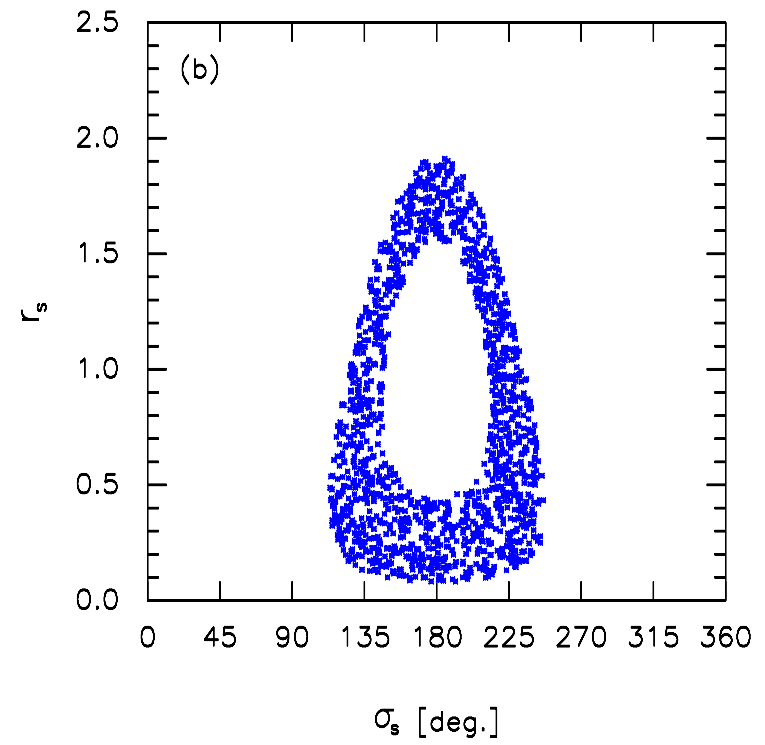
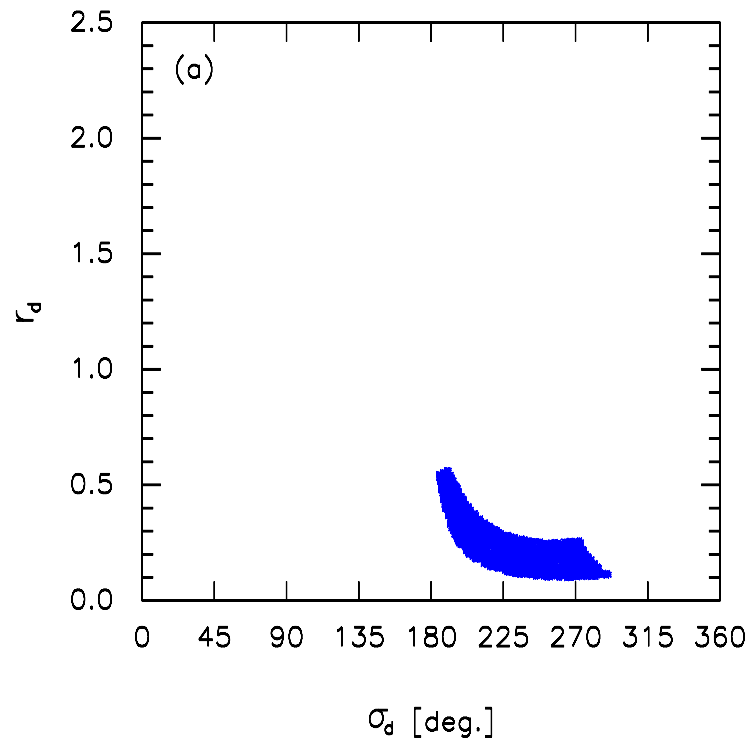
- The  $r_q$  and  $\sigma_q$  are related to  $\Delta_q$  and  $\phi_q^{\text{np}} \equiv \phi_q - \phi_q^{\text{sm}}$ :

$$r_q = -\cos \sigma_q \pm \sqrt{\cos^2 \sigma_q + \Delta_q^2 - 1}$$

$$\sin \phi_q^{\text{np}} = \frac{r_q \sin \sigma_q}{\sqrt{(1 + r_q \cos \sigma_q)^2 + (r_q \sin \sigma_q)^2}}$$

- Measured values

$$\Delta_d = 0.75 \pm 0.30 \quad \Delta_s = 0.74 \pm 0.18 \quad \phi_d^{\text{np}} = -(10.1 \pm 4.6)^\circ$$





How  $B_q$  meson mixings and lepton-flavor violations are related in SUSY GUT framework.

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- In GUT,  $b^c$ ,  $\nu_\tau$ , and  $\tau_L$  are in a single  $\bar{5}$ . So it is natural to have universal mass for the sbottom and stau,  $M_{\tilde{L}}^2 = M_{\tilde{D}}^2$ .

$$\left(m_{\tilde{d}_{RR}}^2\right)_{ij} = \left(m_{\tilde{\tau}_{LL}}^2\right)_{ij} \simeq -\frac{1}{8\pi^2} \left(Y_\nu^\dagger Y_\nu\right)_{ij} (3m_0^2 + A_0^2) \ln \frac{M_*}{M_{\text{gut}}}$$

- One loop SUSY contribution to lepton-flavor decay is

$$B(\ell_i \rightarrow \ell_j \gamma) = \frac{\alpha^3}{G_F^2} \left| \frac{m_{\tilde{l}_{ij}}^2}{M_{\text{susy}}^4} \right|^2 \tan^2 \beta$$

- The dominant SUSY physics contribution to  $B_{d,s}$  mixing is from gluino box diagrams.

$$R_s = a_4 \left(\delta_{LL}^d\right)_{23} \left(\delta_{RR}^d\right)_{23}$$

where

$$\left(\delta_{LL,RR}^d\right)_{23} = \left(m_{\tilde{d}_{LL,RR}}^2\right)_{23} / m_{\tilde{q}}^2$$

- If we take the ratio

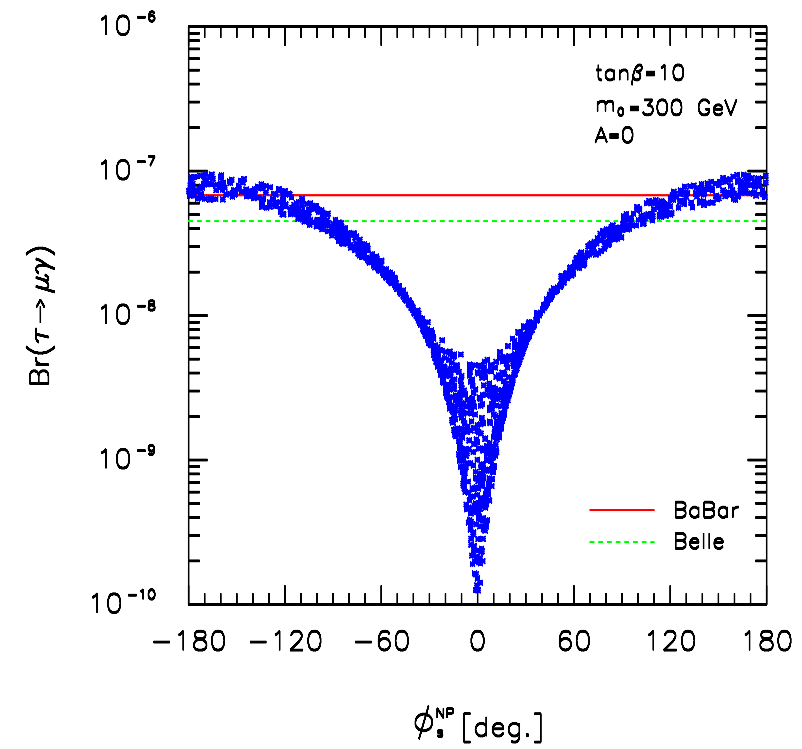
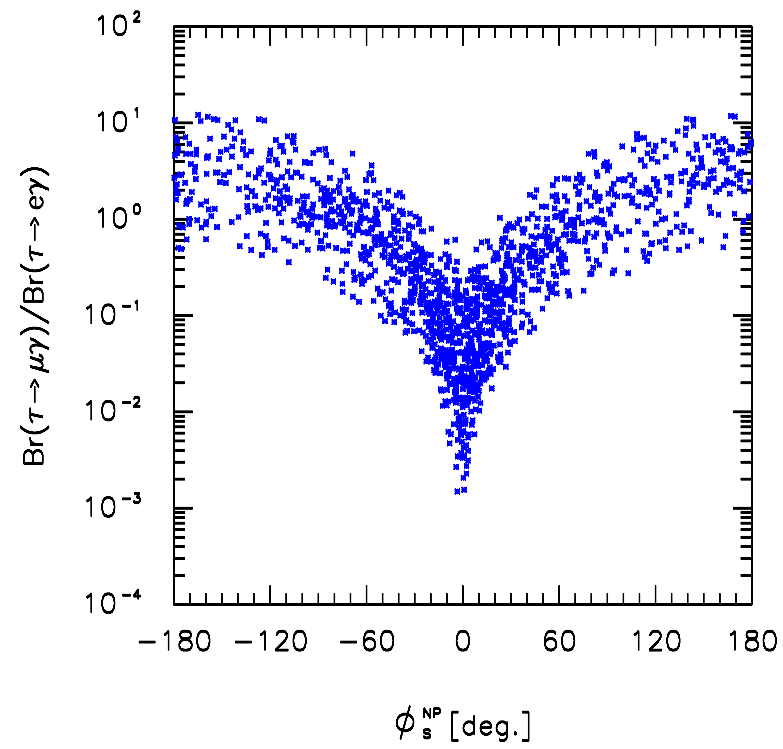
$$\left|\frac{R_s}{R_d}\right|^2 \approx \frac{|(\delta_{LL}^d)_{23}|^2}{|(\delta_{LL}^d)_{13}|^2} \left|\frac{\left(m_{\tilde{d}_{RR}}^2\right)_{23}}{\left(m_{\tilde{d}_{RR}}^2\right)_{13}}\right|^2 = \frac{|(\delta_{LL}^d)_{23}|^2}{|(\delta_{LL}^d)_{13}|^2} \frac{B(\tau \rightarrow \mu\gamma)}{B(\tau \rightarrow e\gamma)}$$

- And the ratio of the  $LL$  elements can be expressed in terms of CKM elements.

$$\left(\delta_{LL}^d\right)_{23,13} \simeq -\frac{1}{8\pi^2} Y_t^2 V_{ts,td} \frac{3m_0^2 + A_0^2}{m_0^2} \ln \frac{M_*}{M_{\text{gut}}}$$

At the end we have

$$\left|\frac{R_s}{R_d}\right|^2 \approx \frac{|V_{ts}|^2}{|V_{td}|^2} \frac{B(\tau \rightarrow \mu\gamma)}{B(\tau \rightarrow e\gamma)}$$



## Conclusions

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- $\theta_{\text{sol}} + \theta_C = \pi/4$  may be realized in quark-lepton unification scenarios.
- $U_{\text{PMNS}}$  can be linked to  $U_{\text{CKM}}$  by expressing  $U_{\text{PMNS}}$  as a perturbation (in  $\lambda = \sin \theta_C$ ) deviating from the  $U_{\text{bimax}}$ .
- When embedded into the SUSY GUT framework, LFV in slepton mass matrix can be induced by RG running. The 3 parameterizations of  $U_{\text{PMNS}}$  give different LFV.
- LFV is related to  $B - \bar{B}$  mixing via GUT relations. There appears an upper bound on

$$\frac{B(\tau \rightarrow \mu\gamma)}{B(\tau \rightarrow e\gamma)} < 1/\lambda^2$$

allowed by current data on  $B_{d,s}$  mixing. It can then give more information on the quark-lepton complementarity.